

**EXETER CITY COUNCIL  
AUDIT AND GOVERNANCE COMMITTEE**

**PROGRESS OF 2013/14 AUDIT PLAN AS AT 31/03/14**

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

**Leader**

'A stronger city'

People Management	30	41	Completed	↑	Some improvement required	1	4	15
External Funding & Grants	10	3.9	Completed	↔	Good	0	2	0

**Enabling Services**

'A well run Council'

'Maintain our property assets of the city'

Main Accounting 2013-14 - Budget Setting & VAT - System Management - Year end processes	25	27.6	Completed  Draft report issued  Start Q1 14/15	↔	Good	0	1	2
Income Management	22	21.7	Completed	No previous report	Some improvement required	2	3	3
Creditors Quarter 1	55	52.7	Completed	↑	Some improvement required	0	5	7
Quarter 2			Completed	↑	Good	1	1	2
Debtors	12	25.2	Draft report issued					
Insurance	5	6.5	Draft report issued					
ICT - Operations Management  - System Security	40	31.6	Completed  Deferred – assurance taken from Grant Thornton	No previous report	Significant improvement required	1	12	3
Business Continuity	10	1.0	Deferred to 14/15					
Risk Management	10	7.4	In progress					
Contracts and Procurement	30	14.7	Ongoing					
Facilities Management	7	8.7	Completed	No previous	Some	0	8	3

(Guildhall Private Hire)				report	improvement required			
Counter Fraud/Anti-Fraud	20	15.9	Draft report issued					
Corporate governance	15	17	Completed	No previous report	Some improvement required	1	9	0
Information Governance	15	5.4	Ongoing					
External Audit & NFI	5	11.6	Completed	↔	Good	0	1	5

### Housing and Customer Access

'Provide suitable housing'

'Be a good landlord'

'Help me with my financial problem'

'Make it easy for me to pay you'

Housing	68	80						
- Right to Buy			Completed	↓	Some improvement required	1	6	7
- Home Contents Insurance			Completed	No previous report	Good	0	3	2
- Voids Process			Completed	No previous report	Significant improvement required	2	15	3
Housing Benefits	60	62.7						
- Welfare Support payments			Completed	No previous report	Some improvement required	0	8	2
- Housing Benefit Subsidy			Draft report issued					
Council Tax	30	38.6						
- Valuation, liability and billing			Completed	↑	Some improvement required	0	9	7
- Collections, refunds and recovery						1	5	4
NDR	11	6.1	Completed	↑	Good	1	2	5

### Environment, Health & Wellbeing

'Keep place looking good'

'Keep me/my environment safe'

Health & Safety	12	2.6	Withdrawn					
Allotments	7	10.3	Completed	No previous report	Some improvement required	0	8	5
Bereavement Services	8	9.4	Completed	↔	Good	0	3	4
Licensing	7	0.2	Deferred to 14/15					
Fleet Management	7	9.6	Completed	No previous report	Good	0	3	1

Sustainability	8	1.0	Deferred to 14/15					
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### Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

Museums Service	14	2.7	In progress					
Markets and Halls	7	6.5	Completed	No previous report	Good	0	2	4
Leisure Contract	7	11.6	Completed	No previous report	Good	0	6	3
Car Parks	8	0.6	Deferred to 14/15					

### City Development

'Deliver good development'

Planning/Building Control	18	5.2	Completed	No previous report	System under review so no assurance rating given	0	2	1
Land Charges	6	5.5	Completed	↔	Good	0	1	2

Contingency (special/frauds) including unplanned work	50	49.4	
<b>Total</b>	<b>639</b>	<b>593.9</b>	